

FORM PTO-1449 (Modified)			ATTY. DOCKET NO. YO999-429	SERIAL NO.: )
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT			APPLICANT: Ming-Ling Lo	
(Use several sheets if necessary)			FILING DATE: Herewith	GROUP:

REFERENCE DESIGNATION		U.S. PATENT DOCUMENTS					
EXAMINER INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPRO.)
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

JC688 S PRO  
09/46627  
12/17/98

		FOREIGN PATENT DOCUMENTS					
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
							YES      NO
	AL						
	AM						
	AN						
	AO						
	AP						

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)				
MIC	AQ		"Extensible Markup Language (XML)1.0 , W3C Recommendation 10 -February-1998, <a href="http://www.w3.org/TR/REC-xml">http://www.w3.org/TR/REC-xml</a> , 10/4/99, pp. 1-41.	
MIC	AR		A. Deutsch et al., "Storing Semistructured Data with STORED", SIGMOD '99, International Conf. Management of Data, Philadelphia, PA (AMC 1999), pp. 431-442.	
	AS			

EXAMINER	<i>MarkChanhnguyen</i>	DATE CONSIDERED
		<i>05/30/03</i>

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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PTO/SB/088 (10-88)  
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Substitute for form 1449B/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet

of

Complete if Known

Application Number:	Concurrently
Filing Date:	Mingling EO
First Named Inventor:	
Group Art Unit:	
Examiner Name:	
Attorney Docket Number:	Y0999-429

### OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Include name of the author (in CAPITAL LETTERS), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.

T2

MK "Extensible Markup Language (XML). 1.0: w3c recommendation 10-Feb 1998", <http://www.w3.org/TR/REC-xml>

MK A. Deutscher et al. "Storing Semistructured Data with STORED", SIGMOD '99, International Conf. Management of Data, Philadelphia PA (Acm 1999) pp 431-442

Examiner Signature:	Mark Hanrahan	Date Considered:	05/30/03
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<sup>1</sup> Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449 PTO

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

*(Use as many sheets as necessary)*

Substitute for form 1449/PTO		<b>Complete If Known</b>	
		Application Number	09/466,627
		Filing Date	12/12/1999
		First Named Inventor	LO
		Art Unit	2176
		Examiner Name	M. NGUYEN
		Attorney Docket Number	YO 999-429
Sheet	of		

U. S. PATENT DOCUMENTS

## **FOREIGN PATENT DOCUMENTS**

Examiner Signature	Moucharbagh, W.	Date Considered	05/30/03
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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

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Complete if Known

Application Number	09/466,627	RECEIVED MAY 08 2003 Technology Center 2600
Filing Date	12/17/1999	
First Named Inventor	LO	
Art Unit	2176	
Examiner Name	M. NGUYEN	
Sheet		Attorney Docket Number
		YO 999-429

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
MK		V. Christophides et al, "From Structured Documents to Novel Query Facilities", Proc. ACM SIGMOD International Conf. Management of Data pp 313 - 324, 1994	
MK		K. Bihm et al., "Structured document storage and reproduc...", VLDB Journal vol 6(4): 296 - 311, November 1997	
MK		M. Volz et al, "Applying a flexible OODBMS - IRS - Coupling to Structured document handling", Proc. International Conference on Data Engineering pp 10 - 19/1996	
MK		Tsatalos et al., "The GMAP: A Versatile Tool for Physical Data Independence," Proc. 20th International VLDB Conference pp. 367 - 378 (1994)	
MK		M. Carey et al., "Towards Heterogeneous Multimedia Information Systems: The Garlic Approach" Proc. the 5th International Workshop on Research... (RIDE): Distributed Object Management (1995)	
MK		A. Deutscher, "A Query Language for XML" Position paper for W3C query language workshop, 1998 ( <a href="http://www.w3.org/TR/NOTE-XQL-xml-ql">http://www.w3.org/TR/NOTE-XQL-xml-ql</a> )	
MK		A. Malhotra et al, "RDF Query Specification," Position Paper for W3C Query language workshop, <a href="http://www.w3.org/TandS/QL/1998/07/rdfquery.html">http://www.w3.org/TandS/QL/1998/07/rdfquery.html</a> (1998)	
MIC		J. Robie et al, "xmc & query language (XQL)," Position Paper for W3C Query language workshop (1998) <a href="http://www.w3.org/TandS/QL/1998/07/xql.html">http://www.w3.org/TandS/QL/1998/07/xql.html</a>	
MIC		J. McHugh et al., "Query optimization for XML," Proc. 25th International Conf. on Verylarge Databases (1999)	

Examiner Signature	/Maukhanhnguyen	Date Considered	05/30/03
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